

FORM NL-28-STATEMENT OF INVESTMENT ASSETS AND STATEMENT OF ACCRETION OF ASSETS

PART - A

Name of the Insurer: Hannover Rück SE – India Branch

Registration No.: FRB/004

Date of Registration with the IRDAI : 21st December, 2016

Statement of Investment Assets (General Insurer including an insurer carrying on business of re-insurance or health insurance) (Business within India)

Statement as on: 30th September 2022

(Rs. in Lakhs)

Periodicity of Submission: Half Yearly

| Section I | | | |
|-----------|------------------------------------------------------|---------------|-------------------|
| No | PARTICULARS | SCH ++ | AMOUNT |
| 1 | Investments (Shareholders) | 8 | 52,671 |
| | Investments (Policyholders) | 8A | 1,88,951 |
| 2 | Loans | 9 | - |
| 3 | Fixed Assets | 10 | 80 |
| 4 | Current Assets | | - |
| | a. Cash & Bank Balance | 11 | 3,827 |
| | b. Advances & Other Assets | 12 | 1,20,763 |
| 5 | Current Liabilities | | - |
| | a. Current Liabilities | 13 | 1,99,583 |
| | b. Provisions | 14 | 68,812 |
| | c. Misc. Exp not Written Off | 15 | - |
| | d. Debit Balance of P&L A/c | | - |
| | Application of Funds as per Balance Sheet (A) | | 97,897 |
| | Less: Other Assets | SCH ++ | Amount |
| 1 | Loans (if any) | 9 | - |
| 2 | Fixed Assets (if any) | 10 | 80 |
| 3 | Cash & Bank Balance (if any) | 11 | 3,827 |
| 4 | Advances & Other Assets (if any) | 12 | 1,20,763 |
| 5 | Current Liabilities | 13 | 1,99,583 |
| 6 | Provisions | 14 | 68,812 |
| 7 | Misc. Exp not Written Off | 15 | - |
| 8 | Investments held outside India | | - |
| 9 | Debit Balance of P&L A/c | | - |
| | Total (B) | | (1,43,725) |
| | 'Investment Assets' | (A-B) | 2,41,622 |

| No | 'Investment' represented as | Reg. % | SH | | PH | Book Value (SH + PH) | % Actual | FVC Amount | Total | Market Value |
|----|--------------------------------------------------------------------------------|-------------------|---------------|---------------|-----------------|----------------------|----------|------------|-----------------|-----------------|
| | | | Balance | FRSM* | | | | | | |
| | | | (a) | (b) | | | | | | |
| 1 | Central Govt. Securities | Not less than 20% | 15,608 | 7,918 | 97,266 | 1,20,792 | 49.82% | - | 1,20,792 | 1,20,222 |
| 2 | Central Govt Sec, State Govt Sec or Other Approved Securities (incl (1) above) | Not less than 30% | 21,963 | 8,871 | 1,25,729 | 1,56,563 | 63.75% | - | 1,56,563 | 1,55,919 |
| 3 | Investment subject to Exposure Norms | | - | - | - | - | 0.00% | | | |
| | a. Housing / Infra & Loans to SG for Housing and FFE | | - | - | - | - | 0.00% | | | |
| | 1. Approved Investments | Not less than 15% | 1,006 | 12,292 | 31,854 | 45,153 | 20.91% | - | 45,153 | 46,038 |
| | 2. Other Investments | | - | - | - | - | 0.00% | - | - | - |
| | b. Approved Investments | Not exceeding 55% | 7,527 | 1,012 | 31,368 | 39,906 | 15.34% | - | 39,906 | 40,476 |
| | c. Other Investments | | - | - | 0 | 0 | 0.00% | - | 0 | 0 |
| | Investment Assets | 100% | 30,496 | 22,174 | 1,88,951 | 2,41,622 | | - | 2,41,622 | 2,42,433 |

Note:

- (+) FRSM refers 'Funds representing Solvency Margin'
- Other Investments' are as permitted under 27A(2)
- Pattern of Investment is applicable to both Shareholders funds representing solvency margin and policyholders funds.
- Exposure Norms shall apply to Funds held beyond Solvency Margin, held in a separate Custody Account
- SCH (++) refers to Schedules to Balance Sheet, prepared as per IRDAI (Preparation of Fin. Stmt and Auditors' Report of Ins Companies) Regulations
- Investment Regulations, as amended from time to time, to be referred

PART - B

Name of the Insurer: Hannover Rück SE – India Branch

Registration No.: FRB/004

Statement as on: 30th September 2022

Statement of Accretion of Assets

(Rs. Lakhs)

(Business within India)

Periodicity of Submission : Half Yearly

| No | Category of Investments | COI | Opening Balance | % to Opening Balance | Net Accretion for the Qtr. | % to Total Accrual | TOTAL | % to Total |
|----|--------------------------------------------------------------------------------|-----|-----------------|----------------------|----------------------------|--------------------|-----------------|-------------|
| | | | (A) | (B) | (A+B) | | | |
| 1 | Central Govt. Securities | | 1,05,326 | 55.71% | 8,402 | 95.84% | 1,13,729 | 57% |
| 2 | Central Govt Sec, State Govt Sec or Other Approved Securities (incl (1) above) | | 1,06,911 | 56.55% | 8,928 | 101.84% | 1,15,839 | 59% |
| 3 | Investment subject to Exposure Norms | | | | | | | |
| | a. Housing & Loans to SG for Housing and FFE | | | | | | | |
| | 1. Approved Investments | | 22,371 | 11.83% | (562) | -6.41% | 21,809 | 11% |
| | 2. Other Investments | | - | 0.00% | - | 0.00% | - | 0% |
| | b. Infrastructure Investments | | | | | | | |
| | 1. Approved Investments | | 29,274 | 15.48% | (905) | -10.33% | 28,369 | 14% |
| | 2. Other Investments | | - | 0.00% | - | 0.00% | - | 0% |
| | c. Approved Investments | | 30,509 | 16.14% | 1,306 | 14.90% | 31,816 | 16% |
| | d. Other Investments (not exceeding 15%) | | 0 | 0.00% | - | 0.00% | 0 | 0% |
| | Total | | 1,89,065 | 100% | 8,767 | 100% | 1,97,832 | 100% |

Note:

- Total (A+B), fund wise should tally with figures shown in Form 3B (Part A)
- Investment Regulations, as amended from time to time, to be referred